

FORM PTO-1449 (SUBSTITUTE)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		<div style="text-align: right;">Attorney Docket No.: 38 ZFH040101</div> <div style="text-align: right;">Applic. No. 10/762,100</div> <hr/> <div style="text-align: right;">Applicant Jürgen Herre et al.</div> <hr/> <div style="display: flex; justify-content: space-between;"> <div>Filing Date January 20, 2004</div> <div>Group Art Unit 2643</div> </div>	
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EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
/DP/	A	5,912,976	06/15/99	Klayman et al.		
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/DP/ J	1 376 538 A1	01/02/04	Europe			
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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EXAMINER /Disler Paul/	DATE CONSIDERED 06/08/2007
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Applicant Jürgen Herre et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Filing Date January 20, 2004	Group Art Unit 2643

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/DP/	O	Erik Schuijers et al.: "Low complexity parametric stereo coding", <i>Audio Engineering Society, Convention Paper 6073, 116<sup>th</sup> Convention, May 8-11, 2004, Berlin, Germany, pp. 1-11</i>
/DP/	P	Christof Faller: "Coding of Spatial Audio Compatible with Different Playback Formats"; <i>Audio Engineering Society, Convention Paper, 117<sup>th</sup> Convention, October 28-31, 2004, San Francisco, CA, pp. 1-12</i>

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/DP/	O	Christof Faller et al.: "Binaural Cue Coding – Part II: Schemes and Applications", <i>IEEE Transactions on Speech and Audio Processing</i> , Vol. 11, No. 6, November 2003, pp. 520-531					
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(37 CFR 1.98(b))

JAN 3 1 2005

Attorney Docket No.:  
S&ZFH040101Applic. No.  
10/762,100

Applicant

Jürgen Herre et al.

Filing Date  
January 20, 2004Group Art Unit  
2643

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/DP/	A	2003/0026441 A1	02/06/03	Faller			
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/DP/	O	Jürgen Herre et al.: "Intensity Stereo Coding", AES 96 <sup>th</sup> Convention, February 26 – March 1, 1994, Amsterdam, Netherlands, AES preprint 3799, pp. 1-10
/DP/	P	Christof Faller et al.: "Binaural Cue Coding Applied to Stereo and Multi-Channel Audio Compression", Audio Engineering Society, Convention Paper 5574, 112 <sup>th</sup> Convention, May 10-13, 2002, Munich, Germany, pp. 1-9

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/DP/	O	Christof Faller et al.: "Binaural Cue Coding. Part II: Schemes and Applications", <i>IEEE Transactions on Speech and Audio Processing</i> , Vol. XX, No. Y, Month 2002, pp. 1-12
/DP/	P	Günther Theile et al.: "MUSICAM-Surround: A Universal Multi-Channel Coding System Compatible with ISO 11172-3", <i>Audio Engineering Society, Convention Paper 3403, 93<sup>rd</sup> Convention, October 1-4, 1992, San Francisco</i> , pp. 1-9

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/DP/	O	B. Grill et al.: "Improved MPEG-2 Audio Multi-Channel Encoding", <i>Audio Engineering Society, Convention Paper 3865, 96<sup>th</sup> Convention, February 26 - March 1, 1994, Amsterdam, Netherlands, pp. 1-9</i>
/DP/	P	Frank Baumgarte et al.: "Binaural Cue Coding - Part I: Psychoacoustic Fundamentals and Design Principles", <i>IEEE Transactions on Speech and Audio processing, Vol. 11, No. 6, November 2003, pp. 509-519</i>
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/DP/	O	Recommendation ITU-R BS 775-1: "Multichannel stereophonic sound system with and without accompanying picture", 11pgs.					
/DP/	P	Juergen Herre et al.: "MP3 Surround: Efficient and Compatible Coding of Multi-Channel Audio", <i>Audio Engineering Society, Convention Paper 6049, 116<sup>th</sup> Convention, May 8-11, 2004, Berlin, Germany, pp. 1-14</i>					
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/DP/	P	Roger Dressler: "Dolby Surround Pro Logic II Decoder Principles of Operation", <i>Dolby Laboratories, Inc.</i> , 2000, pp. 1-7					
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/DP/	O	Jürgen Herre et al.: "Combined Stereo Coding", <i>Audio Engineering Society, Convention Paper 3369, 96<sup>th</sup> Convention, October 1-4, 1992, San Francisco, pp. 1-17</i>					
/DP/	P	Dolby Laboratories, Inc. User's Manual: "Dolby DP563 Dolby Surround and Pro Logic II Encoder", <i>Issue 3, 2003</i>					
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/DP/	O	Minnetonka Audio Owner's Manual: "SurCode for DOLBY PRO LOGIC II", pp. 1-23					
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